

Express Mail No. EV335857891US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Srivastava et al. Confirmation No.: 8478  
Serial No.: 09/625,137 Art Unit: 1642  
Filed: July 25, 2000 Examiner: Christopher H. Yaen  
For: ALPHA(2) MACROGLOBULIN RECEPTOR AS A HEAT SHOCK PROTEIN RECEPTOR AND USES THEREOF Attorney Docket No: 8449-123-999

## FEE TRANSMITTAL SHEET

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## MAIL STOP RCE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The fee required to be filed with the accompanying amendment of even date herewith concerning the above-identified application has been estimated to be \$252.00.

The claim amendment fee has been estimated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	<input checked="" type="checkbox"/> SMALL ENTITY		<input type="checkbox"/> OTHER THAN A SMALL ENTITY		
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID		PRESENT EXTRA	RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE
TOTAL	96	MINUS	68	28	x 9	\$ 252.00		x 18	\$
INDEP.	12	MINUS	18	0	x 43	\$ 0.00		x 86	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						\$ 0.00			\$
					TOTAL	\$ 252.00	OR	TOTAL	\$

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.  
A copy of this sheet is enclosed.

Date: December 5, 2003

Respectfully submitted,

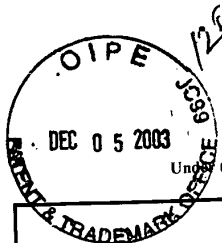
*Adriane M. Antler*

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New York, New York 10036-2711  
(212) 790-9090

32,605  
(Reg. No.)

Enclosure

*my check 2 Falvey 46,097*



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**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Express Mail No	EV335857891US
Application Number	09/625,137
Filing Date	July 25, 2000
First Named Inventor	Srivastava et al.
Group Art Unit	1642
Examiner Name	Christopher H. Yaen
Attorney Docket No.	8449-123-999

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This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted  
i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).  
ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed \_\_\_\_\_  
iii. ☐ Other \_\_\_\_\_  
b. ☒ Enclosed  
i. ☒ Amendment/Reply  
ii. ☐ Affidavit(s)/Declaration(s)  
iii. ☐ Information Disclosure Statement (IDS)  
iv. ☐ Other \_\_\_\_\_

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2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months.  
(Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)  
b. ☐ Other \_\_\_\_\_

3. **Fees**

- The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed  
a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Pennie & Edmonds LLP Deposit Account No. 16-1150:  
i. ☒ RCE fee required under 37 C.F.R. § 1.17(e), estimated to be \$ 385.00  
ii. ☒ Extension of time fee required under 37 C.F.R. §§ 1.136 and 1.17, estimated to be \$ 1,005.00 for a five month extension, the request for which is submitted herewith  
iii. ☐ Other \_\_\_\_\_  
b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed  
c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Adriane M. Antler	Registration No. (Attorney/Agent)	32,605
Signature	Adriane M Antler by Eileen E Fahney 96.097	Date	December 5, 2003

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner For Patents, P.O. Box 1450, Mail Stop RCE, Alexandria, VA 22313-1450, or by facsimile transmitted to fax no. 1-703- to the U.S. Patent and Trademark Office on the date indicated below.

Name (Print/Type)		Registration No. (Attorney/Agent)	
Signature		Date	

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents P.O. Box 1450, Mail Stop RCE, Alexandria, VA 22313-1450.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Srivastava et al.  
of:

Serial No.: 09/625,137

Filed: July 25, 2000

For: ALPHA (2) MACROGLOBULIN  
RECEPTOR AS A HEAT SHOCK PROTEIN  
RECEPTOR AND USES THEREOF

Confirmation No.: 8478

Art Unit: 1642

Examiner: Christopher H. Yaen

Attorney Docket No: 8449-123-999

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AMENDMENT UNDER 37 C.F.R. § 1.114

**MAIL STOP RCE**

Commissioner for Patents

P. O. Box 1450

Washington, D.C. 22313-1450

SIR:

In response to the Advisory Action dated November 18, 2003 and the Final Office Action dated December 31, 2002, and in accordance with Rule 114 of the Rules of Practice, please consider the following remarks and enter the amendments below. Submitted herewith is: (1) a Petition for an Extension of Time for five months, from July 5, 2003 to and including December 5, 2003; (2) a Request for Continued Examination ("RCE"); and (3) an Amendment Fee Transmittal Sheet.

In the event that any additional fee is required, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

**Amendments to the Claims** are reflected in the listing of claims which begin on page 2 of this paper.

**Remarks** begin on page 13 of this paper.

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